

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
15 May 2003 (15.05.2003)

PCT

(10) International Publication Number  
**WO 03/039571 A1**

(51) International Patent Classification: **A61K 38/00**,  
38/16

Institute for Drug Development, Suite 700, 8122 Datapoint Drive, San Antonio, TX 78229 (US). **HIDALGO, Manuel** [US/US]; The Johns Hopkins Oncology Center, Bunting Blaustein Cancer Research Building 1-M88, 1650 Orleans Street, Baltimore, MD 21231-1000 (US).

(21) International Application Number: PCT/US02/33548

(22) International Filing Date: 21 October 2002 (21.10.2002)

(74) Agent: **MYERS, P., Louis**; Fish & Richardson, P.C., 225 Franklin Street, Boston, MA 02110-2804 (US).

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
60/348,414 19 October 2001 (19.10.2001) US

(81) Designated States (*national*): AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

(63) Related by continuation (CON) or continuation-in-part (CIP) to earlier application:  
US 60/348,414 (CIP)  
Filed on 19 October 2001 (19.10.2001)

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

(71) Applicant (*for all designated States except US*): **PHARMAMAR S.A.** [ES/ES]; c/Calera, 3, E-28760 Tres Cantos (ES).

(72) Inventors; and

(75) Inventors/Applicants (*for US only*): **JIMENO, Jose** [ES/ES]; PharmaMar S.A., c/Calera, 3, E-28760 Tres Cantos (ES). **RUIZ CASADO, Ana** [ES/ES]; PharmaMar S.A., c/Calera, 3, E-28760 Tres Cantos (ES). **LOPEZ LAZARO, Luis** [ES/ES]; PharmaMar S.A., c/Calera, 3, E-28760 Tres Cantos (ES). **ROWINSKY, Eric** [US/US];

Published:

— with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

WO 03/039571 A1

(54) Title: IMPROVED USE OF ANTITUMORAL COMPOUND IN CANCER THERAPY

(57) Abstract: Improved dosing schedules for ecteinascidin 743 are given for treatment of cancer.

## IMPROVED USE OF ANTITUMORAL COMPOUND IN CANCER THERAPY

### FIELD OF THE INVENTION

The present invention is directed to the use of ecteinascidin 743 and products containing this compound for cancer therapy, in particular to improvements in the use of ecteinascidin 743 in the treatment of cancer.

### BACKGROUND OF THE INVENTION

Cancer comprises a group of malignant neoplasms that can be divided into two categories, carcinoma, comprising a majority of the cases observed in the clinics, and other less frequent cancers, which include leukemia, lymphoma, central nervous system tumours and sarcoma. Carcinomas have their origin in epithelial tissues while sarcomas develop from connective tissues and those structures that had their origin in mesoderm tissues. Sarcomas can affect, for instance, muscle or bone and occur in the bones, bladder, kidneys, liver, lung, parotid, spleen, etc..

Cancer is invasive and tends to metastasise to new sites. It spreads directly into surrounding tissues and also may be disseminated through the lymphatic and circulatory systems.

Many treatments are available for cancer, including surgery and radiation for localised disease, and drugs. However, the efficacy of available treatments on many cancer types is limited, and new, improved forms of treatment showing clinical benefit are needed.

This is especially true for those patients presenting with advanced and/or metastatic disease. It is also true for patients relapsing with progressive disease after having been previously treated with established therapies for which further treatment with the same therapy is mostly ineffective due to acquisition of resistance or to limitations in administration of the therapies due to associated toxicities.

Chemotherapy plays a significant part in cancer treatment, as it is required for treatment of advanced cancers with distant metastasis and often helpful for tumor reduction before surgery, and many anti-cancer drugs have been developed based on various modes of action.

The ecteinascidins (herein abbreviated Et or Et's) are exceedingly potent antitumor agents isolated from the marine tunicate *Ecteinascidia turbinata*. Several ecteinascidins have been reported previously in the patent and scientific literature. See, for example U.S. Pat. No. 5,256,663, which describes pharmaceutical compositions comprising matter extracted from the tropical marine invertebrate, *Ecteinascidia turbinata*, and designated therein as ecteinascidins, and the use of such compositions as antibacterial, anti-viral, and/or antitumor agents in mammals; U.S. Pat. No. 5,089,273, which describes novel compositions of matter extracted from the tropical marine invertebrate, *Ecteinascidia turbinata*, and designated therein as ecteinascidins 729, 743, 745, 759A, 759B and 770. These compounds are useful as antibacterial and/or antitumor agents in mammals; U.S. Pat. No. 5,478,932, which describes ecteinascidins isolated from the Caribbean tunicate *Ecteinascidia turbinata*, which provide in vivo protection against P388 lymphoma, B16 melanoma, M5076 ovarian sarcoma, Lewis lung carcinoma, and the LX-1 human lung and MX-1 human mammary carcinoma xenografts.

One of them, ecteinascidin-743 (ET-743), is a novel tetrahydroisoquinoline alkaloid isolated from the marine ascidian *Ecteinascidia turbinata* that has considerable antitumor activity in murine and human tumors *in vitro*.

In a study of human cancer cell lines, ET-743 exhibited extremely potent activity against several soft tissue sarcoma cell lines with IC<sub>50</sub>s well below 1 pM. See for example Li W, Jhanwar S, Elisseyeff Y, Bertino JR. "Potent antitumor activity of ET-743 against human soft tissue sarcoma cell lines", Clin Cancer Res 1999; 5: 305 and Izbicka E, Lawrence R, Raymond E, *et al.*: "In vitro antitumor activity of the novel marine agent, Ecteinascidin-743 against human tumors explanted from patients", Ann. Oncol. 1998; 9: 981-7.

Potent antitumor activity has been demonstrated in a broad range of *in vivo* tumor models, including human tumor xenografts in nude mice. This is illustrated in Valoti G, Nicoletti MI, Faircloth G, *et al.*: "Antitumor effect of ecteinascidin-743 (ET-743) on human ovarian carcinoma xenografts", Proc. Am. Assoc. Cancer Res. 1997; 38: 1477; Faircloth G, Hendriks HR, Giavazzi R, *et al.*: "In vivo antitumor activity of Ecteinascidin 743 (ET 743), a novel marine derived cytotoxic against human xenografts tumor models", Ann Oncol 1996; 7: 125; Hendriks HR, Fiebig HH, Giavazzi R, *et al.*: "High antitumour activity of ET743 against human tumour xenografts from melanoma, non-small-cell lung and ovarian cancer" Ann. Oncol. 1999; 10: 1233-40.

Et-743 has a novel complex mechanism of action at the level of gene transcription. ET-743 binds to guanine-cytosine rich sequences in the minor groove of DNA and alkylates guanine residues at the N2 position, see Pommier Y, Kohlhagen G, Bailly C, *et al.*: "DNA sequence- and structure-selective alkylation of guanine N2 in the DNA minor groove by Ecteinascidin 743, a potent antitumor compound from the Caribbean tunicate *Ecteinascidia turbinata*", Biochemistry 1996; 35:

13303-9. Cell cycle studies have demonstrated that ET-743 decreases the rate of progression of tumor cells through S-phase and causes prolonged p53-independent blockade in G<sub>2</sub>/M, giving rise to a strong apoptotic response, Erba W, Bergamaschi D, Ronzoni S, *et al.*: "Mode of action of Ecteinascidin 743, a natural marine compound with antitumor activity" *Ann. Oncol.* 1998; 9: 535. Cells in G<sub>1</sub> are more sensitive to the cytotoxic effects of ET-743 than cells in S-phase or G<sub>2</sub>/M. These effects appear to be mediated by multiple mechanisms. ET-743 strongly inhibits the activation of the transcription of certain genes, including p21, *c-fos*, *c-jun* and *mdr1*, without affecting their basal transcription levels. Further background concerning this point is to be found in Mantovani R, La Valle E, Bonfanti M, *et al.*: "Effect of ET-743 on the interaction between transcription factors and DNA", *Ann. Oncol.* 1998; 9: 534; Minuzzo M, Marchini S, Broggin M, *et al.*: "Interference of transcriptional activation by the antineoplastic drug ecteinascidin-743", *Proc. Natl. Acad. Sci. USA* 2000; 97: 6780-4; Jin S, Gorfajn B, Faircloth G, Scotto KW.: "Ecteinascidin 743, a transcription-targeted chemotherapeutic that inhibits MDR1 activation", *Proc. Natl. Acad. Sci. USA* 2000; 97: 6775-9; Synold TW, Dussault I, Forman BM.: "The orphan nuclear receptor SXR coordinately regulates drug metabolism and efflux", *Nat. Med.* 2001; 7: 584-90.

Toxicological evaluations of ET-743 as a single or a fractionated dose by the intravenous route in mice, rats, and dogs have consistently shown the potential of ET-743 to induce reversible hematological and hepatic toxicity. Liver toxicity was evident from transient increases in serum levels of liver enzymes, bilirubin and bile acids, and from histopathological changes in the liver. Further toxicity included lesions at the site of injection, spleen and thymus lesions, bile duct hyperplasia, portal fibrosis, gall bladder lesions characterized by cholecystitis with oedema and a lymphocytic infiltrate, pancreatic acinar cell atrophy and apoptosis, and decreased testicular and ovarian weights. Studies in dogs showed vomiting and diarrhoea following the

administration of ET-743. A study in cynomolgus monkeys confirmed the potential of single doses of ET-743 to induce hepatic and hematological toxicity, emesis and diarrhoea. However, fractionated dosing induced only minor toxicity in monkeys. See Jimeno J, Faircloth G, Cameron L, *et al.*: "Progress in the acquisition of new marine-derived anticancer compounds: development of ecteinascidin-743 (ET-743)", *Drugs Future* 1996; 21: 1155-65.

An *in vitro* bone marrow assay using human, murine and canine progenitor cells, showed equal sensitivity of erythropoid and myeloid cells to ET-743. Prolonged or repeated exposure to the drug proved more toxic to hematopoietic progenitors than a single 1-hour exposure, see for example Ghielmini M, Colli E, Erba E, *et al.*: "In vitro schedule-dependency of myelotoxicity and cytotoxicity of Ecteinascidin 743 (ET-743)", *Ann. Oncol.* 1998; 9: 989-93. The therapeutic index of ET-743 was more favourable with prolonged exposure.

A clinical development program of ET-743 in cancer patients was started with phase I studies investigating 1-hour, 3-hour, 24-hour and 72-hour intravenous infusion schedules and a 1 hour daily x 5 (dx5) schedule. These studies are reported in Taamma A, Misset JL, Riofro M, *et al.*: "Phase I and pharmacokinetic study of ecteinascidin-743, a new marine compound, administered as a 24-hour continuous infusion in patients with solid tumors", *J. Clin. Oncol.* 2001; 19: 1256-65; Van Kesteren C, Cvitkovic E, Taamma A, *et al.*: "Pharmacokinetics and pharmacodynamics of the novel marine-derived anticancer agent ecteinascidin 743 in a phase I dose-finding study", *Clin. Cancer Res.* 2000; 6: 4725-32; Ryan DP, Supko JG, Eder JP, *et al.*: "Phase I and pharmacokinetic study of ecteinascidin 743 administered as a 72-hour continuous intravenous infusion in patients with solid malignancies" *Clin. Cancer Res.* 2001; 7: 231-42; Villalona-Calero MA, Eckhardt SG, Weiss G, *et al.*: "A phase I and pharmacokinetic study of ecteinascidin-743 on a daily x 5 schedule in patients with solid malignancies", *Clin.*

Cancer Res. 2002; 8: 75-85. Further detail on the use of Et-743 for the treatment of the human body for cancer is given in WO 0069441.

Summary results of each phase I study are detailed below:

*24hr infusion every 3 weeks:* A total of 52 patients were treated at nine different dose levels and received a total of 158 cycles. The MTD (maximum tolerated dose) and the RD (recommended dose) were determined at 1800  $\mu\text{g}/\text{m}^2$  and 1500  $\mu\text{g}/\text{m}^2$ . The DLT (dose limiting toxicity) was hematotoxicity (i.e., neutropenia and thrombocytopenia). At the RD, transient and reversible increases in transaminases were observed in most patients. Grade 3 or 4 transaminases occurred in 68% of patients and 38% of cycles. Grade 2 or greater hyperbilirubinemia was reported in 30% of the treatment courses at the RD. Other toxicities included nausea/vomiting and asthenia.

*72 hr infusion every 3 weeks:* In this study, 21 adult patients were evaluated at four dose levels of ET-743. Non-hematological dose-limiting toxicity with 1200  $\mu\text{g}/\text{m}^2$  (MTD) was reversible grade 4 transaminases elevation (in 2 out of 9 patients), which was considered a DLT in this study. A third patient at this dose level experienced grade 4 rhabdomyolysis, grade 4 febrile neutropenia, and grade 4 thrombocytopenia. At the RD (1050  $\mu\text{g}/\text{m}^2$ ) there was not any grade 4 hypertransaminasemia, and grade 3 was reported in 50% of patients. Increases in bilirubin and alkaline phosphatase occurred less often and were below grade 2. Nausea/vomiting and fatigue were also reported. Prolonged infusion of ET-743 over 72 hours did not allow the administration of a higher total dose per cycle than over 24 hours.

*1 hr infusion days 1-5 every 3 weeks:* 42 patients were treated at 10 dose levels ranging from 6 to 380  $\mu\text{g}/\text{m}^2/\text{day}$ . At the 380 $\mu\text{g}/\text{m}^2/\text{day}$  dose level (MTD), 3 patients developed DLT (long-lasting neutropenia) and one of them died because of toxicity. At the 325 $\mu\text{g}/\text{m}^2/\text{day}$  dose

level (RD), 59% of cycles were delayed, most of them because of treatment related toxicity. Furthermore, the median day of recovery to grade 1 (at which treatment could be recommended) for neutropenia was 28 days. Thus, in some patients it may be necessary to administer the RD of 325  $\mu\text{g}/\text{m}^2/\text{day}$  ET-743 on a 4 week schedule. There were not any grade 4 transaminases toxicities even at the MTD. Reversible grade 3 transaminases occurred in 14% of cycles and 31 % of patients at the recommended dose. An event of grade 3 hyperbilirubinemia occurred at the RD.

*1 and 3 hr infusion every 3 weeks:* In the first part of the study 40 patients were treated with ET-743 given as a 1-hr intravenous infusion every 3 weeks. A maximum tolerated dose (MTD) of 1100  $\mu\text{g}/\text{m}^2$  was defined. The dose limiting toxicities (DLT) were: grade 4 fatigue, grade 4 neutropenia lasting more than 5 days and grade 4 thrombocytopenia. At the MTD level, emesis and grade 3-4 transaminases elevation, which in some cycles did not recover by day 21, were observed too. It was realized that the MTD and recommended dose (RD) in this study were much lower than in a concurrent study with ET-743 given as a 24-hr continuous infusion. Since the latter schedule requires in-patient treatment, it was felt that a shorter infusion time was preferable if the same dose level could be reached. Therefore, the protocol was amended to assess the feasibility of ET-743 given as a 3-hr infusion. Prolonging the infusion from 1 to 3 hours resulted in a higher MTD and RD for Phase II studies (1800 and 1650  $\mu\text{g}/\text{m}^2$ ) with a similar toxicity profile. 32 patients were treated in the second part of the study. DLTs included again G4 thrombocytopenia and G3 fatigue. Other toxicities included elevation of transaminases and increases of bilirubin G2 or greater in 9% of treatment courses at the RD. Decreases of leukocytes and neutrophil counts, asthenia, nausea/vomiting and phlebitis were also observed. Liver toxicity was evident in many patients from marked increases in serum ALT and AST and signs of cholestasis as evidenced by increases in alkaline phosphatase and bilirubin, although these



occurred less frequently and were of lower grade than the increases in transaminases.

Two schedules (24 hour every 3 weeks and 3 hour every 3 weeks) reached the phase II programme. The phase II programme confirmed the activity against soft tissue sarcoma and ovarian cancer. However, the recommended starting dose for the 3 hour schedule had to be reduced because of serious toxicity. The recommended dose for the 24 hour schedule was 1500  $\mu\text{g}/\text{m}^2$  and the recommended dose for the 3 hour schedule is at present 1300  $\mu\text{g}/\text{m}^2$ .

Serious increases of transaminases (grade 3-4) were more frequent with the 3 hour schedule than with the 24 hour schedule. Increased transaminases were seen in 83.4% of patients and 58.3% of cycles. This toxicity has improved with the amendment that reduces the starting dose to 1300 (grade 3 and 4 ALT in 38% and 8.5% of patients), although it would be desirable to reduce it further. Cholestasis is less severe and less frequent observing grade 1, 2 and 3 alkaline phosphatase in 50.4%, 6.5% and 1.7% (leading to an overall 58.6% that compares similarly with 57.7% over 24 hours) of patients. Grades 1-3 of bilirubin in 45% of patients was observed (versus 23.8% of patients receiving the drug over 24 hours). An infrequent but important toxicity was the renal toxicity, represented by creatinine abnormalities, that is also higher than in the 24 hour schedule.

The 3 hour every three weeks schedule has the significant advantage of being more comfortable for the patient because it reduces the time being spent at the hospital for infusion and monitoring, in particular avoiding overnight stay. However, the schedule exhibited a greater toxicity as mentioned above and as illustrate by the following tables:

## Hematological Toxicity. Worst grade per patient. 24 hour infusion

	N	NCI-CTC Grade- Number of patients (%)			
		0-1	2	3	4
Neutrophils	319	103(32.3)	54(16.9)	92(28.8)	70 (21.9)
Platelets	319	260(81.5)	17 (5.3)	35 (11.0)	7 (2.2)
Hemoglobin	320	165(51.6)	111 (34.7)	33 (10.3)	11(3.4)

## Liver Toxicity. Worst Grade Per Patient. 24 hour Infusion

	N	NCI-CTC Grade- Number of patients (%)			
		1	2	3	4
Bilirubin	320	49(15.3)	23(6.9)	4(1.3)	
Alk Phosph	319	149(46.7)	29(9.1)	6(1.9)	
Gamma GT	109	25(22.9)	29(26.6)	32(29.4)	2(1.8)
SGOT/AST	319	74(23.2)	88(27.6)	120(37.6)	16(5.0)
SGPT/ALT	320	62(19.4)	83(25.9)	127(39.7)	30(9.4)

## Hematological Toxicity. Worst grade per patient. 3 hour infusion

	N	NCI-CTC Grade- Number of patients (%)			
		0-1	2	3	4
Neutrophils	243	59( 24.5)	42 (17.4)	57 (23.6)	85 (35.2)
Platelets	241	170 (69.1)	26 (10.6)	31(12.6)	14 (5.6)
Hemoglobin	239	132 (55)	80 (33.4)	23 (9.6)	4 (1.6)

## Liver toxicity. Worst grade per patient. 3 hour infusion

	N	NCI-CTC Grade- Number of patients (%)			
		1	2	3	4
Bilirubin	228	59 (25.8)	38 (16.6)	6 (2.6)	0
Alk Phosph	228	115 (50.4)	15 (6.5)	4 (1.7)	2 (0.8)
SGOT/AST	232	17 (7.2)	29 (12.3)	117 (49.7)	58 (24.6)
SGPT/ALT	233	13 (5.5)	19 (8.1)	107 (45.7)	87 (37.1)

## Creatinine abnormalities. 3 hour infusion.

	N	NCI-CTC Grade			
		1	2	3	4
Per patient (24h)	218	76(23.9)	19(6.0)	3(0.9)	2(0.6)
Per patient (3h)	218	44 (20.1)	10 (4.6)	5 (2.3)	0

It is an object of the present invention to provide a cancer therapy using Et-743 which allows for short infusion times while minimizing toxicities induced by the administration of ET-743, and without sacrificing the desired antineoplastic effects.

#### SUMMARY OF THE INVENTION

We have now found, unexpectedly, that a different type of schedule and dosage allows for an effective cancer therapy with ET-743. Surprisingly, our results show that it is possible to administer ET-743 with reduced infusion times while avoiding toxicities and maintaining the desired antineoplastic effects. It is significant that the most frequent toxicities have been reduced more than 3 times, transaminases toxicity is reduced up to 8 times less than the 3 hour every three weeks schedule and serious renal toxicity is avoided.

The present invention provides a method of treating cancer in humans, comprising intravenously infusing a composition comprising ET-743 into a human having cancer at continuous dosage over a period up to 4 hours, wherein the step of infusing is repeated weekly on a cyclic basis.

The infusing step is typically repeated on a cyclic basis. The cyclic basis comprises two phases, the phase of weekly infusing and a phase of not infusing, referred to as a rest phase. In the rest phase the patients are allowed to recover. Usually the cycle is worked out in weeks, and thus the cycle comprises one or more weeks of an infusion phase, and one or more weeks of a rest phase. Preferably the rest period is not longer than the infusion phase. Thus, preferably the rest phase is the same number of weeks as the infusion phase, or a lesser number of weeks. Particularly preferred is for the infusion phase to be a greater number of weeks than the rest phase, though a cycle of one

week infusion and one week rest is envisaged. Preferably the resting phase is one week within each cycle. The preferred duration of each cycle is of 2 to 4 weeks; multiple cycles can be given as needed. A cycle of 4 weeks is most preferred.

In a particular embodiment, the infusion time is between 1 and 3 hours, preferably between 2 and 3 hours. Especially preferred is a time of about 3 hours.

In another embodiment of the invention, the dosage of Et-743 is below 650  $\mu\text{g}/\text{m}^2/\text{weekly}$ , preferably between 300 and 600  $\mu\text{g}/\text{m}^2/\text{weekly}$ , more preferably between 400 and 600  $\mu\text{g}/\text{m}^2/\text{weekly}$ . Suitably the dosage is between 525 and 600  $\mu\text{g}/\text{m}^2/\text{weekly}$ , especially preferred is a dosage of about 580  $\mu\text{g}/\text{m}^2/\text{weekly}$ .

The above schedules and dosages allow for an effective cancer therapy in humans, while avoiding toxicities. This means that with these dosages and schedules the therapeutic index is improved. We have found that ET-743 is effective in the treatment of several cancer types, including advanced or metastatic. Preferably, ET-743 is used according to the above schedules and dosages for the treatment of sarcoma, osteosarcoma, ovarian cancer, breast cancer, melanoma, colorectal cancer, mesothelioma, renal cancer, endometrial cancer and lung cancer.

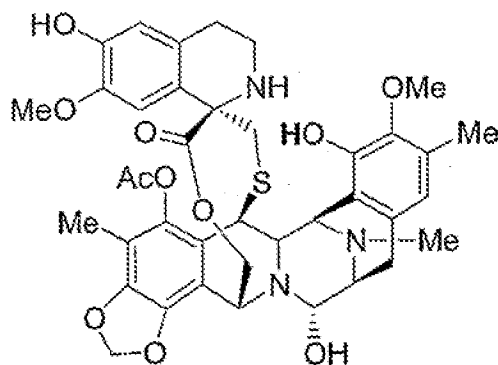
The present invention also provides a pharmaceutical composition containing a recommended dose of ET-743 for weekly administration and a pharmaceutically acceptable carrier.

In a further aspect of the present invention, a medical kit for administering ET-743 is provided, comprising printed instructions for administering ET-743 according to the dosing schedules set forth

above, and a supply of ET-743 in dosage units for at least one cycle, wherein each dosage unit contains the appropriate amount of ET-743 for the treatments as defined above and a pharmaceutically acceptable carrier.

#### DETAILED DESCRIPTION

ET-743 is a natural compound represented by the following formula:



ET-743 is supplied and stored as a sterile lyophilized product, consisting of ET 743 and excipient in a formulation adequate for therapeutic use, in particular a formulation containing mannitol and a phosphate salt buffered to an adequate pH.

A preferred formulation, which shows improved stability at higher storage temperature, is one which after dilution contains per ml. 0.05mg of ET743, 50 mg of mannitol and 6.8 mg of potassium dihydrogen phosphate to adjust to a pH between 4.00 and 6.00, with 4.80 being the preferred pH. The product is lyophilized and stored in the cold, between +4 C and -20 C and protected from light until use.

Preparation of the reconstituted solution is performed under aseptic conditions by adding distilled water in the amount of 5ml for

every 0.25 mg of ET-743 and shaking for a short time to dissolve the solids.

Preparation of the infusion solution is also performed under aseptic conditions by withdrawing the reconstituted solution volume corresponding to dosage calculated for each patient, and slowly injecting the required reconstituted solution volume into an infusion bag or bottle containing between 100 and 1000 ml of 0.9% sodium chloride solution, after which the whole is homogenised by slow manual shaking. The ET-743 infusion solution should be administered intravenously, as soon as possible, within 48 hours after preparation. PVC and polyethylene infusion systems, as well as clear glass are preferred container and conduit materials.

The administration is performed in cycles, in the application method of the invention, an intravenous infusion of ET743 is given to the patients every week, allowing for a resting phase in each cycle in which the patients recover. Preferably the resting phase is one week within each cycle. The preferred duration of each cycle is of 2 to 4 weeks; multiple cycles can be given as needed. Dose delays and/or dose reductions and schedule adjustments are performed as needed depending on individual patient tolerance of treatments, in particular does reductions are recommended for patients with higher than normal serum levels of liver transaminases or alkaline phosphatase, or bilirubin.

Depending on the type of tumour and the developmental stage of the disease, the treatments of the invention are useful in preventing the risk of developing tumours, in promoting tumour regression, in stopping tumour growth and/or in preventing metastasis.

Although guidance for the dosage is given above, the correct dosage of the compound will vary according to the particular

formulation, the mode of application, and the particular situs, host and tumour being treated. Other factors like age, body weight, sex, diet, time of administration, rate of excretion, condition of the host, drug combinations, reaction sensitivities and severity of the disease shall be taken into account. Administration can be carried out continuously or periodically within the maximum tolerated dose.

The Recommended Dose (RD) is the highest dose which can be safely administered to a patient producing tolerable, manageable and reversible toxicity according to the Common Toxicity Criteria (CTC) established for example by the National Cancer Institute, (USA) typically with no more than 2 out of 6 patients presenting any dose limiting toxicities (DLT). Guidelines for cancer therapy frequently call for administration of chemotherapeutic agents at the highest safe dose at which toxicity is manageable in order to achieve maximum efficacy (DeVita, V. T. Jr., Hellman, S. and Rosenberg, S. A., Cancer: Principles and Practice of Oncology, 3rd ed., 1989, Lipincott, Philadelphia). For ET-743, the recommended doses are as defined above and set forth in the examples.

The compound ET743 and compositions of this invention may be used with other drugs to provide a combination therapy. The other drugs may form part of the same composition, or be provided as a separate composition for administration at the same time or a different time. The identity of the other drug is not particularly limited, and suitable candidates include:

- a) drugs with antimitotic effects, especially those which target cytoskeletal elements, including microtubule modulators such as taxane drugs (such as taxol, paclitaxel, taxotere, docetaxel), podophylotoxins or vinca alkaloids (vincristine, vinblastine);
- b) antimetabolite drugs (such as 5-fluorouracil, cytarabine, gemcitabine, purine analogues such as pentostatin, methotrexate);

- c) alkylating agents or nitrogen mustards (such as nitrosoureas, cyclophosphamide or ifosfamide);
- d) drugs which target DNA such as the anthracycline drugs adriamycin, doxorubicin, pharmorubicin or epirubicin;
- e) drugs which target topoisomerases such as etoposide;
- f) hormones and hormone agonists or antagonists such as estrogens, antiestrogens (tamoxifen and related compounds) and androgens, flutamide, leuporelin, goserelin, cyprotrone or octreotide;
- g) drugs which target signal transduction in tumour cells including antibody derivatives such as herceptin;
- h) alkylating drugs such as platinum drugs (cis-platin, carbonplatin, oxaliplatin, paraplatin) or nitrosoureas;
- i) drugs potentially affecting metastasis of tumours such as matrix metalloproteinase inhibitors;
- j) gene therapy and antisense agents;
- k) antibody therapeutics;
- l) other bioactive compounds of marine origin, notably the didemnins such as aplidine;
- m) steroid analogues, in particular dexamethasone;
- n) anti-inflammatory drugs, including nonsteroidal agents (such as acetaminophen or ibuprofen) or steroids and their derivatives in particular dexamethasone; and
- o) anti-emetic drugs, including 5HT-3 inhibitors (such as granisetron or ondasetron), and steroids and their derivatives in particular dexamethasone.

Particularly preferred for use in combination therapy are dexamethasone, doxorubicin, cisplatin, paclitaxel and dexamethasone. Further guidance on combination therapy is given in WO 0236135, incorporated herein by reference in its entirety.

## EXAMPLES



A phase I clinical trial was carried out with the following protocol:

#### PROTOCOL:

*Dose schedule:* ET-743 will be administered every week as a 3hours iv infusion for 3 consecutive weeks every 4 weeks.

*Starting dose and dose escalation:* The starting dose will be 300  $\mu\text{g}/\text{m}^2$  weekly of ET-743 given as a intravenous infusion over 3 hours for 3 consecutive weeks every 4 weeks. Patients will be sequentially enrolled into the following dose cohorts beginning at dose Level 1. A minimum cohort size of 3 patients will be treated at each of the dose levels.

ET-743 Dose Escalation Scheme	
Dose Level -1	200 $\mu\text{g}/\text{m}^2$ weekly
Dose Level 1	300 $\mu\text{g}/\text{m}^2$ weekly
Dose Level 2	400 $\mu\text{g}/\text{m}^2$ weekly
Dose Level 3	525 $\mu\text{g}/\text{m}^2$ weekly
Dose Level 4	650 $\mu\text{g}/\text{m}^2$ weekly
Dose Level 5	775 $\mu\text{g}/\text{m}^2$ weekly
Dose Level 6	900 $\mu\text{g}/\text{m}^2$ weekly

*Accrual at the next higher dose level:* At least 1 patient at each dose level must have completed 1 cycle of therapy and two patients must have completed treatment on day 15 before a new patient can be treated at the next highest dose.

*Conditions for retreatment:* Patients are eligible for retreatment with ET-743 as long as there is no evidence of disease progression, intolerable toxicity, the patient desires further treatment, and fulfill the eligibility criteria.

#### *Dose Limiting Toxicities (DLTs)*

Dose limiting toxicities (DLT) will be defined as follows:

- ANC < 500/ $\mu$ L for longer than 5 days.
- ANC < 500/ $\mu$ L accompanied by fever (at least 100.5° F).
- Platelets < 25,000/ $\mu$ L.
- Any grade 3-4 nonhematological toxicity except nausea/vomiting (provided the patient is receiving an optimal antiemetic regimen consisting of dexamethasone and a serotonin antagonist on an optimal dose-schedule for prophylaxis and management), alopecia. Grade 3 or 4 elevation in transaminases that result in either omission of 2 scheduled treatments within a cycle or delay in the initiation of a subsequent course exceeding 2 weeks.
- Missing at least 2 scheduled treatments during a single course due to drug-induced toxicity (missed doses will not be made up).
- Delay in the initiation of a subsequent course of treatment exceeding 2 weeks.

*Determination of the Maximum Tolerated Dose (MTD)*

Cohort of 3 patients will be treated at each dose level. If no DLT is seen during the first cycle in the cohort of patients at any given dose level, new patients may be treated at the next higher level.

If any patient encounters drug-induced DLT during either cycle 1 or 2, a maximum of 6 patients may be treated at that level. If DLT is not observed in the additional patients, new patients may be treated at the next higher dose level.

If at least 2 patients experience DLT at any given dose level, this dose level will be considered the Maximum Tolerated Dose (MTD). However, it is possible that additional patients may experience DLT due to the timing of patient enrollment into that dose level.

*Recommended Dose for phase II studies (RD)*

Once an MTD level is established, subsequent patients should be treated at the next lower dose level. Intermediate doses may be used in some instances and flexibility is an integral part of the protocol. If two or more patients experience DLT at the lower dose level, then the MTD has again been established and additional patients will be treated at the next lower dose (unless sufficient numbers of patients have already been treated at that dose level).

The RD is defined as the highest dose level at which less than 2 of 6 patients experience DLT during cycles 1 or 2. At the RD, sufficient numbers of patients will be accrued so at least 6 patients receive at least 2 cycles of therapy, and at least 4 patients receive at least 4 courses of treatment.

RESULTS:

This trial was launched in May 00 and last patient was included in March 02. 31 patients were treated.

Tumours included: Sarcomas (19), UOT (1), Lung (1), Ovary (4), Breast (2), Uterus (1), Melanoma (2), Colorectal (1)

Dose level	Patients
300	3
400	3
525	4
650	6
580	15

2 DLT defined the MTD in this trial: Long lasting grade 3 neutropenia, and g3 Bilirubin toxicity. Both DLTs were found at the 4<sup>th</sup> level. So, the MTD in this trial was 650 mcg/sm weekly x 3 / 4 weeks. The recommended dose is 580 mcg/sm x 3 every 4 weeks.

### Toxicities

After evaluating 29 patients, grade 3-4 neutropenia was 10.3% per patient and G3 transaminases 10%.

The following tables display the main features of the toxicity seen with this schedule.

Hematologic toxicity per patient. 3 hour weekly X3 / 4 weeks infusion

	Number patients	G2 (%)	G3(%)	G4(%)
Hemoglobin	29	9(31)	1(3.4)	0
Platelets	29	1(3.4)	0	0
Neutrophils	29	1(3.4)	2(6.9)	1(3.4)

Nonhematologic toxicity per patient. 3 hour weekly X3 / 4 weeks infusion

	Number patients	G2 (%)	G3(%)	G4(%)
Creatinine	29	1(3.4)	0	0
Creatine Kinase	29	1(3.4)	0	1(3.4)
Bilirubin	29	0	1(3.4)	0
ALT	29	9(31)	3(10.3)	0
Alk Phos	29	1(3.4)	2(6.9)	0

According to the previously depicted toxicity, this schedule shows an excellent profile of toxicity, improving the previous one (obtained with the 24 and 3 hours schedule every three weeks). It can be seen on the table that neutropenia, thrombopenia, transaminases increases and

creatinine (the most frequent adverse events) have now a much lower frequency.

Bilirubin and creatinin-kinase seem to be higher than with the previous ones. 3.4% in the weekly trial means that 1 patient had this toxicity and could be unrepresentative. More patients need to be treated to confirm if this will be the true incidence.

<i>Grade 3-4</i>	<i>24 h</i>	<i>3h</i>	<i>Weekly</i>
<i>Hemoglobin</i>	13.7	11.3	3.4
<i>Platelets</i>	13.2	18.7	0
<i>Neutrophils</i>	50.7	58.3	10.3
<i>Creatinine</i>	0.7	2.3	0
<i>Creatinine Kinase</i>	2.2	1.5	3.4
<i>Bilirubin</i>	1.3	2.6	3.4
<i>ALT</i>	49.1	82.8	10.3

### Activity

Some hints of activity were seen:

2 minor responses in patients with sarcoma and long lasting stabilizations in sarcoma (2) and ovarian cancer (2).

### Conclusions

From the clinical point of view the weekly schedule is really attractive in terms of toxicity. The most frequent toxicities have been reduced more than 3 times. And for instance transaminases toxicity is 8 times less than the 3 hour schedule.

Serious renal toxicity (G3-4) has not been seen with this schedule.

This schedule is very well tolerated and toxicities have been minimized in an profitable way. Though phase I trial are not designed to evaluate efficacy some hints of activity were seen.

## CLAIMS

1. A method of treating cancer in humans, comprising intravenously infusing a composition comprising ET-743 into a human having cancer at a continuous dosage over a period up to 4 hours, wherein the step of infusing is repeated weekly on a cyclic basis.
2. A method according to claim 1, wherein the cyclic basis comprises one or more weeks of an infusion phase, and one or more weeks of a rest phase, the rest phase not being longer than the infusion phase.
3. A method according to claim 1, wherein the infusion time is from 1 to 3 hours.
4. A method according to claim 1, wherein the infusion time is 2 to 3 hours.
5. A method according to claim 1, wherein the infusion time is about 3 hours.
6. A method according to any preceding claim, wherein the dosage of Et-743 is below  $650 \mu\text{g}/\text{m}^2/\text{week}$ .
7. A method according to claim 6, wherein the dosage is from 300 to  $600 \mu\text{g}/\text{m}^2/\text{week}$ .

8. A method according to claim 6, wherein the dosage is from 400 to 600  $\mu\text{g}/\text{m}^2/\text{week}$ .
9. A method according to claim 6, wherein the dosage is from 525 to 600  $\mu\text{g}/\text{m}^2/\text{week}$ .
10. A method according to claim 6, wherein the dosage is about 580  $\mu\text{g}/\text{m}^2/\text{week}$ .
11. A method according to any preceding claim, wherein the cyclic basis comprises weekly administration and a rest phase in each cycle.
12. A method according to claim 11, wherein the rest period is one week within each cycle.
13. A method according to any preceding claim, wherein each cycle is 2 to 4 weeks.
14. A method according to any preceding claim, for the treatment of sarcoma, osteosarcoma, ovarian cancer, breast cancer, melanoma, colorectal cancer, mesothelioma, renal cancer, endometrial cancer or lung cancer.
15. A method according to any preceding claim, wherein another drug is administered to provide a combination therapy.



16. A method according to claim 15, wherein the other drug is selected from:

- a) drugs with antimitotic effects, especially those which target cytoskeletal elements, including microtubule modulators such as taxane;
- b) antimetabolite;
- c) alkylating agents or nitrogen;
- d) drugs which target;
- e) drugs which target topoisomerases;
- f) hormones and hormone agonists or antagonists;
- g) drugs which target signal transduction in tumour;
- h) alkylating drugs;
- i) drugs potentially affecting metastasis of tumours;
- j) gene therapy and antisense agents;
- k) antibody therapeutics;
- l) other bioactive compounds of marine origin;
- m) steroid analogues;
- n) anti-inflammatory drugs; and
- o) anti-emetic drugs.

17. A method according to claim 16, wherein the other drug is selected from doxorubicin, cisplatin, paclitaxel and dexamethasone.

18. The use of ecteinascidin 743 in the preparation of a pharmaceutical composition for a method according to any preceding claim.

19. A medical kit for administering ET-743, comprising printed

instructions for administering ET-743 according to a method of any preceding claim, and a supply of ET-743 in dosage units for at least one cycle, wherein each dosage unit contains an appropriate amount of ET-743 for the method and a pharmaceutically acceptable carrier.

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US02/33548

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : A61K 38/00, 38/16

US CL : 514/2, 8

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 514/2, 8, 23, 53, 54, 250, 934; 424/520; 530/855

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

Please See Continuation Sheet

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5,256,663 A (RINEHART et al) 26 October 1993 (26.10.1993), see whole document.	1-19
A	US 5,478,932 A (RINEHART et al) 26 December 1995 (26.12.1995), see whole document.	1-19
A	US 5,089,273 A (RINEHART et al) 18 February 1992 (18.02.1992), see whole document.	1-19
A	RYAN et al. Phase I and Pharmacokinetic Study of Ecteinascidin 743 Administered as a 72-Hour Continuous Intravenous Infusion in Patients with Solid Malignancies. Clinical Cancer Research February, 2001, Vol. 7, pages 231-242, see page 231.	1-19
A	DELOGE et al. Ecteinascidin-743: A Marine-Derived Compound in Advanced, Pretreated Sarcoma Patients--Preliminary Evidence of Activity. Journal of Clinical Oncology. March 2001, Vol. 19, No. 5, pages 11248-1255, see whole document.	1-19
A	TAAMMA et al. Phase I and Pharmacokinetic Study of Ecteinascidin-743, a New Marine Compound, Administered as a 24-hour Continuous Infusion in Patients With Solid Tumors. Journal of Clinical Oncology. March 2001, Vol. 19, No. 5, pages 1256-1265, see whole document.	1-19



Further documents are listed in the continuation of Box C.



See patent family annex.

* Special categories of cited documents:		* T	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A"	document defining the general state of the art which is not considered to be of particular relevance	"X"	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E"	earlier application or patent published on or after the international filing date	"Y"	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L"	document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"&"	document member of the same patent family
"O"	document referring to an oral disclosure, use, exhibition or other means		
"P"	document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search

03 December 2002 (03.12.2002)

Date of mailing of the international search report

23 DEC 2002

Name and mailing address of the ISA/US

Commissioner of Patents and Trademarks

Box PCT

Washington, D.C. 20231

Facsimile No. (703)305-3230

Authorized officer

B. Dell Chism

Telephone No. (703) 308-0196

# INTERNATIONAL SEARCH REPORT

PCT/US02/33548

## Continuation of B. FIELDS SEARCHED Item 3:

STN, BIOSIS, SCISEARCH, CAPLUS, WEST

ecteinascidin 743, ET-743, dosage, cyclic, cycle, phase, phases, rest, cancer, neoplasm, therapeutic, metastatic, tetrahydroisoquinoline, alkaloid